Martin-Löf à la Coq and other tales of formalized type theories

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Proof Assistants ?



A software/programming language to:

- Specify theorems,
- ► Write proofs,
- Check mechanically the correctness of theses proofs.









Proof Assistants at Work





Challenges: Correctness, Expressivity, Usability, Scalability, Inter-operability, Efficiency

Dependent Types 101



Demo ?

Martin-Löf Type Theory and its Implementations



Martin-Löf logical framework + type formers (Type, Π , Σ , Id $A \times y$, ...)

$$\Gamma \vdash \quad \Gamma \vdash A \quad \Gamma \vdash A \equiv B$$

$$\Gamma \vdash t : A \qquad \Gamma \vdash t \equiv u : A$$

Idealized metatheory of proofs assistants based on dependent types.

Practical implementation \sim algorithms deciding each judgements

Martin-Löf Type Theory and its Implementations

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$$\Gamma \vdash \quad \Gamma \vdash A \quad \Gamma \vdash A \equiv B$$

 $\Gamma \vdash t : A \qquad \Gamma \vdash t \equiv u : A$

$$\frac{APP}{\Gamma \vdash t : (x : A) \to B} \qquad \Gamma \vdash u : A}{\Gamma \vdash t : B [x := u]} \qquad \frac{LAM}{\Gamma \vdash \lambda x : A \vdash t : B} \\
\frac{CONV}{\Gamma \vdash t : A} \qquad \Gamma \vdash A \cong B}{\Gamma \vdash t : B} \qquad \frac{BETA}{\Gamma \vdash (\lambda x : A \cdot t) u \cong t[x := u] : B[x := u]}$$



Formalized Metatheory of Type Theory: Why ?

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Logical aspects

- Relative consistency
- Normalization/Canonicity
- Proof-theoretical bounds

Certification aspects

Correctness, completeness and totality of the implemented algorithms

Formalized Metatheory of Type Theory: State of the art

MetaCog



Normalization oracle

Logical relations for MLTT



Decidability of Conversion for Type Theory in Type Theory

ANDREAS ABEL, Gothenburg University, Sweden IOAKIM OHMAN IMPEA Software Institute Service ANDREA VEZZOSI, Chalmers University of Technology, Suppler

Tone theory should be able to bundle its own meta-theory: both to instify its foundational chains and to obtain a verified implementation. At the core of a type checker for intensional type theory lies an algorithm to check equality of types, or in other words, to check whether two types are convertible. We have formalized in Anda a meastical conversion checking obserition for a dependent type theory with one universe à la Russell natural numbers, and a equality for II types. We prove the algorithm correct via a Kripke logical relation parameterized by a suitable notion of emissioner of terms. We then instantiate the surameterized fundamental lemma twice: once to obtain canonicity and intertivity of type formers and once again to move the completeness of the algorithm. Our proof relies on inductive-recursive definitions, but not on the uniqueness of identity proofs. Thus, it is valid in variants of intensional Martin-Löf Type Theory as long as they support induction recursion. for instance Extensional Observational or Homotony Type Theory

CCS Concepts • Theory of computation -> Type theory, Proof theory,

Additional Key Words and Phrases: Demendent types, Logical relations, Formalization, Arda

ACM Reference Format

Andreas Abel Joshim Ohmen, and Andrea Versoni. 2018. Decidability of Conservices for Tene Theory in Type Theory, Proc. ACM Program, Law, 2, POPL, Article 23 (January 2018), 29 pages, https://doi.org/10.1145/3158111

1 INTRODUCTION

A fundamental component of the implementation of a typed functional programming language is an algorithm that checks equality of types: even more so for dependently-typed languages where

Rely on Induction-Recursion



A Cog Formalization of Normalization by Evaluation for Martin-Löf Type Theory

Paweł Wieczorek nased wice zorekilten uni wege, pl dabiétes ari serve pl

Abstract

We present a Coo formalization of the normalization-hyory with one universe and indemental equality. The end a reduction-free normaliser and of a decision precedere for term ornahiv.

The formalization takes advantage of a graph-based variant of the Bove-Capretta method to encode matually recursize evaluation functions with nested neuroise calls. The record of completeness, which uses the PIB-model of denen-Cog system rather than on the commonly used inductionrecognises achieves which is not recallable in Cost. The proof. of soundaess is formalized by encoding logical relations as nartial functions.

CCS Concepts - Theory of commutation --- Perof theory Type theory

Kernerifs normalization by evaluation, type theory, Conprogram certification

ACM Reference Format:

Prevel Wieczerek and Dariasa Biernacki. 2018. A Cog Formaliza-



1 Introduction Proof assistants such as Coo or Arda rely on construction Howard correspondence. In this paradigm propositions are report validation is obtained by type checking. Thus, envially, the type-checking in such restored has to be decidable. No the larie. These two preparties are internately connected in contenad with a procedure for deciding equality over terms

part of the type-checking algorithm. Proving correctness of

instance, we may wish to exain the underlying type theory of a proof assistant with a rules, since they allow us to equate

terms like E(f) and E(x : 4, f x) which turns out to be

break the Church-Rosser property flamenan 1973] that is



MetaCoq



The implementation of Coq (Idealised)





The implementation of Coq (Idealised)





The implementation of Coq (Idealised)





Representing Coq in Coq: Template-Coq







Representing Coq in Coq: Template-Coq





Representing Coq in Coq: Template-Coq





Inductive term := tRel (n : nat) tVar (i : ident) (* For free variables (e.g. in a goal) *) tEvar (n : nat) (l : list term) tSort (u : Universe.t) tProd (na : aname) (A B : term) tLambda (na : aname) (A t : term) tLetIn (na : aname) (b B t : term) (* let na := b : B in t *) tApp (u v : term) tConst (k : kername) (ui : Instance.t) tInd (ind : inductive) (ui : Instance.t) tConstruct (ind : inductive) (n : nat) (ui : Instance.t) tCase (indn : case info) (p : predicate term) (c : term) (brs : list (branch term)) tProj (p : projection) (c : term) tFix (mfix : mfixpoint term) (idx : nat) tCoFix (mfix : mfixpoint term) (idx : pat) tPrim (prim : prim val term).

Inductive typing (Σ : global_env_ext) (Γ : context) : term -> term -> Type :=
| type Rel : forall n decl,
wf_local Σ Γ ->
nth_error Γ n = Some decl ->
Σ ::: Γ - tRel n : Lift@ (S n) decl.(decl type)

type_Sort : forall s, wf_local Σ Γ -> wf_universe Σ s -> Σ ;;; Γ |- tSort s : tSort (Universe.super s)

Metatheorems and Results from MetaCoq

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Metatheorems

- Confluence of reduction
- Injectivity of type formers
- Subject reduction
- Principality wrt. cumulativity

Products

- Verified type-checker and conversion checker
- That can be extracted to Ocaml
- Verified extraction to ocaml/malfunction

Metatheorems and Results from MetaCoq

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Metatheorems

- Confluence of reduction
- Injectivity of type formers
- Subject reduction
- Principality wrt. cumulativity

Assumes normalization as an oracle !

```
(* AXIOM Postulate existence of a guard condition checker *)
Inductive FixCoFix : Type := Fix | CoFix.
Class GuardChecker :=
{ (* guard check for both fixpoints (Fix) and cofixpoints (CoFix) *)
| guard : FixCoFix -> global_env_ext -> context -> mfixpoint term -> Prop ;
}.
Axiom guard checking : GuardChecker.
```

Products

- Verified type-checker and conversion checker
- That can be extracted to Ocaml
- Verified extraction to ocaml/malfunction

Martin-Löf à la Coq: Mechanized Logical Relation in Coq for MLTT j.w.w. A. Adjedj, M. Lennon-Bertrand, P.-M. Pédrot, L. Pujet



Informally: Normalization of Coq in Coq



Informally: Normalization of Coq in Coq







Informally: Normalization of Coq in Coq

Theorem :Typing and conversion are decidable for MLTT wrt the theory of Coq

MLTT with Π , Σ , \mathbb{O} , $\mathbb{1}$, \mathbb{N} , List

The theory of Coq: PCUIC

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Informally: Normalization of Coq in Coq

Theorem :Typing and conversion are decidable for MLTT with 1 universe wrt the theory of Coq with 1 + 5 universes.

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The theory of Coq: PCUIC

Current gap: indexed inductive types and a hierarchy of universes.

Towards decidability



Declarative typing

Free standing conversion rule

$$\frac{\Gamma \vdash_{de} t : A \qquad \Gamma \vdash_{de} A \cong B}{\Gamma \vdash_{de} t : B}$$

Conversion mixes arbitrary uses of congruence, computation (β), extensionality and transitivity steps.

Towards decidability



Declarative typing

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Algorithmic typing (bidirectional)

Conversion constrained to phase changes

$$\frac{\Gamma \vdash_{\mathsf{al}} t \triangleright A}{\Gamma \vdash_{\mathsf{al}} t \triangleleft B}$$

 Conversion guided by the terms: alternating weak-head reduction and syntax directed congruences/extensionality rules

(12)

How can we compare the two presentations of MLTT?

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 $\textbf{Declarative} \rightarrow \textbf{Algorithmic:}$ Need to show that every derivation has a canonical form

Logical Relations, formally in Coq



Key Idea:

- Attach to every type a notion of reduction to a canonical form $\Gamma \Vdash A$
- ► Use witnesses [A] : Γ ⊨ A to define a similar notion Γ ⊨ t : A/[A] for terms of type A
- Show that the definition enjoy many stability properties

Fundamental lemma: Mutual induction on the judgements, using all the derived properties

$$\begin{array}{ccc} \Gamma \vdash_{\mathsf{de}} A & \Longrightarrow & \Gamma \Vdash A \\ \Gamma \vdash_{\mathsf{de}} t : A & \Longrightarrow & [A] : \Gamma \Vdash A & \wedge & \Gamma \Vdash t : A/[A] \end{array}$$

Formal development: \sim 10k loc (4k specs/6k proofs); overall development \sim 25k loc

Beyond MLTT

Extending MLTT: Why would we care ?



Add new proof principles:

- Uniqueness of identity proofs (UIP)
- Function extensionality (funext)
- Negation of funext
- Quotients
- Univalence principle
- Markov principle
- Parametricity
- Church Thesis

Account for existing programming features:

- Subtyping
- Exceptions
- Read access to a global environment
- Dynamic type
- Non-determinism (?)
- Probabilistic choices (?)

Functorial structure on List



The type former $\mathrm{List}:\mathrm{Type}\to\mathrm{Type}$ can be endowed with a

$$\begin{array}{rll} \operatorname{map} & : & (A \to B) \to \operatorname{List} A \to \operatorname{List} B \\ \\ \operatorname{map} & f & [] & = & [] \\ \\ \operatorname{map} & f & (hd :: tl) & = & f \ hd :: \operatorname{map} f \ tl \end{array}$$

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Functor laws:

$$map id \equiv id \qquad map f \circ map g \equiv map (f \circ g)$$

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Not validated on neutrals !

 $A: Type, I: List A \not\vdash map id_A I \equiv I: List A$



 \blacktriangleright Extend MLTT with functor laws on ${\rm List}$



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Add reduction rules for map composition

 $\operatorname{map} f (\operatorname{map} g I) \rightsquigarrow \operatorname{map} (f \circ g) I \quad \text{for neutral } I$



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Extend the logical relation with equations on neutrals

$$\frac{\Gamma \vdash f \equiv \mathrm{id}_A : A \to A \quad \Gamma \vdash I \equiv I' : \mathrm{List} A \qquad I, I' \text{ neutrals}}{\Gamma \vdash \mathrm{map} \ f \ I \equiv I' : \mathrm{List} A}$$



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Mechanized: Consistency, Canonicity, Decidability of conversion and type-checking



- \blacktriangleright Extend MLTT with functor laws on ${\rm List}$
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 $\texttt{map } f (\texttt{map } g \ l) \rightsquigarrow \texttt{map} (f \circ g) \ l \quad \texttt{for neutral } l \\$

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Mechanized: Consistency, Canonicity, Decidability of conversion and type-checking

A Functorial Type Theory $\mathsf{MLTT}_{\mathrm{map}}$

 $\mathsf{MLTT}+\,\mathtt{map}$ for $\mathrm{List},\Pi,\Sigma,\mathtt{W},\mathtt{Id}\,+\,\mathsf{functor}$ laws





$$\frac{\underset{\Gamma \vdash_{\text{sub}} t: A}{\Gamma \vdash_{\text{sub}} t: A'}}{\Gamma \vdash_{\text{sub}} t: A'} \qquad \frac{\underset{\Gamma \vdash_{\text{coe}} t: A}{\Gamma \vdash_{\text{coe}} Coe}}{\Gamma \vdash_{\text{coe}} Coe} A \preccurlyeq A'$$

Structural coercions:

 $\operatorname{coe}_{\operatorname{List} A, \operatorname{List} B} I \rightsquigarrow \operatorname{map} \operatorname{coe}_{A, B} I$



$$\frac{\prod_{i=1}^{\text{SUB}} t:A \quad \Gamma \vdash_{\text{sub}} A \preccurlyeq}{\Gamma \vdash_{\text{sub}} t:A'} \qquad \frac{\prod_{i=1}^{\text{COE}} t:A \quad \Gamma \vdash_{\text{coe}} A \preccurlyeq A'}{\Gamma \vdash_{\text{coe}} \operatorname{coe}_{A,A'} t:A'}$$

Structural coercions:

$$\operatorname{coe}_{\operatorname{List} A, \operatorname{List} B} / \rightsquigarrow \operatorname{map} \operatorname{coe}_{A, B} /$$

Equations validated by $MLTT_{sub}$:

$$\Gamma \vdash_{\operatorname{coe}} \operatorname{coe}_{A,A} t \equiv t : A \qquad \Gamma \vdash_{\operatorname{coe}} \operatorname{coe}_{B,C} (\operatorname{coe}_{A,B} t) \equiv \operatorname{coe}_{A,C} t : C$$



$$\frac{\underset{\Gamma \vdash_{\text{sub}} t: A}{\Gamma \vdash_{\text{sub}} t: A'}}{\Gamma \vdash_{\text{sub}} t: A'} \qquad \frac{\underset{\Gamma \vdash_{\text{coe}} t: A}{\Gamma \vdash_{\text{coe}} A \preccurlyeq A'}}{\Gamma \vdash_{\text{coe}} coe_{A,A'} t: A'}$$

Structural coercions:

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Current limitations and future steps

Logrel-Coq

- Currently, only one universe
- A branch with List and functor laws
- ► Missing some (co)inductives: W, Id, M wanted ! Add a scheme for cumulative indexed-inductives ?
- Some performance issues to tackle.

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A playground for experimentations on type theories and their normalization

Validate Coq's guard condition, add extensionality principle for booleans, ...



Reflexive graphs model: external parametricity Types equipped with a reflexive relation	[Atkey et al.]
Setoid model: UIP, funext Types equipped with an irrelevant equivalence relation	[Altenkirch et al.]
Exceptional model: Exceptions Pointed types	[Pédrot et al.]
Reader model: Reading and setting a global cell Presheaves on a set of states	[Boulier et al.]

Formalizing Logical Relations for MLTT

A logical relation for iterated whnf



A (proof-relevant) predicate

 $\Gamma \Vdash A$

characterizing types by their weak head normal form.

A logical relation for iterated whnf



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 $\Gamma \Vdash A$

characterizing types by their weak head normal form.

For $[A] : \Gamma \Vdash A$, 3 predicates:

 $\Gamma \Vdash_{[A]} A \cong B$ $\Gamma \Vdash_{[A]} t : A$ $\Gamma \Vdash_{[A]} t \cong u : A$

A logical relation for iterated whnf

A (proof-relevant) predicate

 $\Gamma \Vdash A$

characterizing types by their weak head normal form.

For $[A] : \Gamma \Vdash A$, 3 predicates:

$$\Gamma \Vdash_{[A]} A \cong B$$

$$\Gamma \Vdash_{[A]} t : A$$

$$\Gamma \Vdash_{[A]} t \cong u : A$$

Using small-induction recursion $_{\rm [Hancock\ et\ al.]}$ in Coq.

```
Inductive LR@{i i k} {l : TypeLevel} (rec : forall l', l' << l -> RedRel@{i i})
: RedRel@{i k} :=
   LRU {\Gamma A} (H : [\Gamma |]-U<l> A]) :
      LR rec F A
      (fun B => (Γ ||-U≊ B 1)
      (fun t => [ rec | Γ ||-U t
                                      : A | H ])
      (fun t u => [ rec | [ ||-U t m u : A | H ])
   LRne { [ A} (neA : [ [ | -ne A ]) :
      LR rec F A
      (fun B => [Γ|]-ne A ≅ B
                                          | neA])
      (fun t \Rightarrow [\Gamma | l - ne t : A | neAl)
      (fun t u => [ [ ]]-ne t m u : A | neAl)
    LRPi {\Gamma : context} {A : term} (\Pi A : PiRedTv@{i} \Gamma A) (\Pi Aad : PiRedTvAdequat
    LR rec F A
     (fun B => [ [ ]]-∏ A ≅ B
                                         I DA 1)
      (fun t \Rightarrow [\Gamma | ] - \Pi t ; A | \Pi A ])
      (fun t u \Rightarrow [ \Gamma | I - \Pi t \cong u : A | \Pi A ])
    LRNat { [ A } (NA : [ [ | |-Nat A] ) :
    LR rec F A (NatRedTyEq NA) (NatRedTm NA) (NatRedTmEq NA)
    LREmpty { [ A} (NA : [ [ | ]-Empty A]) :
    LR rec F A (EmptyRedTyEq NA) (EmptyRedTm NA) (EmptyRedTmEq NA)
    LRSig {\Gamma : context} {A : term} (\Sigma A : SigRedTv@{i} \Gamma A) (\Sigma Aad : SigRedTvAdeg
    LR rec \Gamma A (SigRedTyEq \SigmaA) (SigRedTm \SigmaA) (SigRedTmEq \SigmaA)
   LRList {F : context} {A : term}
     (LA : ListRedTvPack@{i} F A) (LAAd : ListRedTvAdequate@{i k} (LR rec) LA)
    LR rec F A
      (ListRedTvEg@{i} F A LA)
      (ListRedTm@{i} F A LA)
      (ListRedTmEq@{i} F A LA).
```





- **Escape:** if $\Gamma \Vdash_{[A]} t : A$ then $\Gamma \vdash t : A$
- Irrelevance (including universe level)
- Equivalence: reflexivity, symmetry, transitivity
- Neutral reflection
- Closure by anti-reduction



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Fundamental lemma: if $\Gamma \vdash_{de} t : A$ then $[A] : \Gamma \Vdash A$ and $\Gamma \Vdash_{[A]} t : A$



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Fundamental lemma: if $\Gamma \vdash_{de} t : A$ then $[A] : \Gamma \Vdash A$ and $\Gamma \Vdash_{[A]} t : A$

Corollary: if $\Gamma \vdash_{de} t : A$ then $\Gamma \vdash t : A$



- Escape: if $\Gamma \Vdash_{[A]} t : A$ then $\Gamma \vdash_{\text{gen}} t : A$
- Irrelevance (including universe level)
- Equivalence: reflexivity, symmetry, transitivity
- Neutral reflection
- Closure by anti-reduction

Fundamental lemma: if $\Gamma \vdash_{de} t : A$ then $[A] : \Gamma \Vdash A$ and $\Gamma \Vdash_{[A]} t : A$

```
Corollary: if \Gamma \vdash_{de} t : A then \Gamma \vdash_{gen} t : A
```

$3 \ \text{logical} \ \text{relations} \ \text{in} \ 1$





Engineering aspects



Code: 20k loc (9k spec; 11k proofs)

The formalization rely on

autosubst2 for generating renaming, substitution and their lemmas

- Equations
- partialfun (T. Winterhalter) for defining the typechecking algorithm and reasoning on it

Tactics:

- for discharging typing goals (eauto with typing lemmas)
- in order to dispatch the many forms of irrelevance
- for instantiating the logical relation with valid substitutions