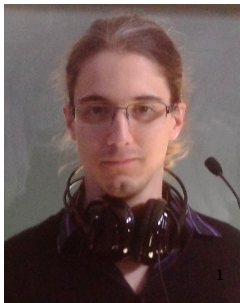


# An introduction to polarised L calculi

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# Why?

L: polarized versions of the  $\lambda\mu\tilde{\mu}$  abstract-machine calculi.

Claim: a good **syntax** to talk about

- classical computation
- mixed-polarity equivalences
- effects
- purity

(Semantics: roughly in line with CBPV models.)

Related works:

- Zeilberger (direct relation to focusing; no syntax)
- CBPV (related models, worse syntax)

## Section 1

$\mu\tilde{\mu}$  recap

# Abstract machines

$$\begin{array}{l} \langle t \ u \ \| \ e \rangle \triangleright_{\text{abs}} \langle t \ \| \ u \cdot e \rangle \\ \langle \lambda x. t \ \| \ u \cdot e \rangle \triangleright_{\text{abs}} \langle t \ [u/x] \ \| \ e \rangle \end{array}$$

# Abstract machines

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$c ::= \langle t \ \| \ e \rangle$  configuration

$t, u ::=$  term

$x, y, z$	variable
$t \ u$	application
$\lambda x. t$	$\lambda$ -abstraction

$e, f ::=$  context

$\star$	empty
$t \cdot e$	application stack

## Introducing $\mu$

$$\langle t \ u \parallel e \rangle \triangleright_{\text{abs}} \langle t \parallel u \cdot e \rangle$$

This reduction **defines**  $(t \ u)$  :

$$\langle t \ u \mid \_ : \_ \mid e \rangle \longmapsto \langle t \parallel u \cdot e \rangle$$

*It is the term that, when put against  $\mid e \rangle$ , reduces to  $\langle t \parallel u \cdot e \rangle$ .*

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$$\langle \mu\alpha. c \parallel e \rangle \triangleright_{\mu} c [e/\alpha]$$



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$$\mu\alpha. \langle t \parallel u \cdot \alpha \rangle$$

$$\langle \mu\alpha. c \parallel e \rangle \triangleright_{\mu} c [e/\alpha]$$

$$t \ u \stackrel{\text{def}}{:=} \mu\alpha. \langle t \parallel u \cdot \alpha \rangle$$

## Detroducing $\lambda$

$$\langle \lambda x. t \parallel u \cdot e \rangle \triangleright_{\text{abs}} \langle t [u/x] \parallel e \rangle$$

**Idea :**  $\lambda$  pattern-matches on the context, deconstructing  $u \cdot e$ .

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$$\lambda x. t \stackrel{\text{def}}{=} \mu(x \cdot \alpha). \langle t \parallel \alpha \rangle$$

## Other datatypes and $\tilde{\mu}$

$c ::= \langle t \parallel e \rangle$  command

$t, u ::=$

|  $x, y, z$   
|  $\mu\alpha. c$   
|  $\mu(x \cdot \alpha). c$   
|  $(t, u)$

$e, f ::=$

|  $\star, \alpha, \beta$   
|  
|  $t \cdot e$

$\langle \text{let } (x_1, x_2) = t \text{ in } u \parallel e \rangle \triangleright_{\text{abs}} \langle t \parallel \text{let } (x_1, x_2) = \square \text{ in } \langle u \parallel e \rangle \rangle$

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- |  $\star, \alpha, \beta$
- |  $?$
- |  $t \cdot e$
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$\langle \mu\alpha. c \parallel e \rangle \triangleright_{\mu} c[e/\alpha]$   
 $\langle t \parallel \tilde{\mu}x. c \rangle \triangleright_{\tilde{\mu}} c[t/x]$   
 $\langle (t_1, t_2) \parallel \tilde{\mu}(x_1, x_2). c \rangle \triangleright_{\otimes} c[t_1/x_1, t_2/x_2]$   
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- |  $\sigma_i t$

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$\langle \mu\alpha. c \parallel e \rangle$	$\triangleright_{\mu}$	$c[e/\alpha]$
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- |  $\tilde{\mu}[(\sigma_1 x_1). c_1 \mid (\sigma_2 x_2). c_2]$

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- |  $\mu[(\pi_1 x_1). c_1 \mid (\pi_2 x_2). c_2]$

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## Program equivalences

The  $\eta$ -expansion rules are beautiful and regular.  
(Thank you, sequent calculus.)

In the  $\lambda$ -calculus:

$$\begin{array}{l} (t : A \rightarrow B) \triangleright_{\eta} \lambda x. t x \\ \forall C, \quad C[t : A \otimes B] \triangleright_{\eta} \text{let } (x_1, x_2) = t \text{ in } C[(x_1, x_2)] \end{array}$$

In L:

$$\begin{array}{l} (t : A \rightarrow B) \triangleright_{\eta} \mu(x \cdot \alpha). \langle t \parallel x \cdot \alpha \rangle \\ (e : A \otimes B) \triangleright_{\eta} \tilde{\mu}(x_1, x_2). \langle (x_1, x_2) \parallel e \rangle \end{array}$$



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**Killer feature!**

## Type system...

$$\begin{array}{l} t, u ::= x, y, z \mid \mu\alpha. c \mid \mu(x \cdot \alpha). c \mid (t, u) \\ e, f ::= \star, \alpha, \beta \mid \tilde{\mu}x. c \mid t \cdot e \mid \tilde{\mu}(x_1, x_2). c \end{array}$$

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$$c ::= \langle t \parallel e \rangle \quad \boxed{c : (\Gamma \vdash \Delta)} \quad \boxed{\Gamma \vdash t : A \mid \Delta} \quad \boxed{\Gamma \mid e : A \vdash \Delta}$$

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$$\frac{\Gamma \vdash t : A \mid \Delta \quad \Gamma \mid e : A \vdash \Delta}{\langle t \parallel e \rangle : (\Gamma \vdash \Delta)} \quad \frac{}{\Gamma, x : A \vdash x : A \mid \Delta} \quad \frac{}{\Gamma \mid \alpha : A \vdash \alpha : A, \Delta}$$

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$$\frac{c : (\Gamma \vdash \alpha : A, \Delta)}{\Gamma \vdash \mu\alpha. c : A \mid \Delta} \quad \frac{c : (\Gamma, x : A \vdash \Delta)}{\Gamma \mid \tilde{\mu}x. c : A \vdash \Delta}$$

## and logic

$$\boxed{\Gamma \vdash t : A \mid \Delta}$$

$$\boxed{\Gamma \mid e : A \vdash \Delta}$$

$$\boxed{c : (\Gamma \vdash \Delta)}$$

**Multiple** co-variables  $\longleftrightarrow$  **classical** logic

call/cc( $f$ )  $\stackrel{\text{def}}{:=}$



## and logic

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**Multiple** co-variables  $\longleftrightarrow$  **classical** logic

$$\text{call/cc}(f) \stackrel{\text{def}}{=} \mu\alpha. \langle f \parallel (\mu(x \cdot \beta). \langle x \parallel \alpha \rangle) \cdot \alpha \rangle$$

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**Multiple** co-variables  $\longleftrightarrow$  **classical** logic

$$\text{call/cc}(f) \stackrel{\text{def}}{=} \mu\alpha. \langle f \parallel (\mu(x \cdot \beta). \langle x \parallel \alpha \rangle) \cdot \alpha \rangle$$

Intuitionistic restrictions, either:

- ▷ linear co-context

$$\frac{}{\Gamma, x : A \vdash x : A \mid \emptyset} \qquad \frac{\Gamma \vdash t : A \mid \Delta_1 \quad \Gamma \mid e : B \vdash \Delta_2}{\Gamma \mid t \cdot e : A \rightarrow B \vdash \Delta_1, \Delta_2}$$

- ▷ single variable + shadowing

$$t \ u \stackrel{\text{def}}{=} \mu\alpha. \langle t \parallel u \cdot \alpha \rangle$$

$$\lambda x. t \stackrel{\text{def}}{=} \mu(x \cdot \alpha). \langle t \parallel \alpha \rangle$$

$$t \ u \stackrel{\text{def}}{=} \mu \star. \langle t \parallel u \cdot \star \rangle$$

$$\lambda x. t \stackrel{\text{def}}{=} \mu(x \cdot \star). \langle t \parallel \star \rangle$$

## Confluence problem

Computational Classical logic: **hard !**

$$\langle \mu\alpha. c_1 \parallel \tilde{\mu}x. c_2 \rangle$$

## Confluence problem

Computational Classical logic: **hard !**

$$\langle \mu\alpha. c_1 \parallel \tilde{\mu}x. c_2 \rangle \triangleright c_2 [\mu\alpha. c_1/x]$$

## Confluence problem

Computational Classical logic: **hard !**

$$c_1 [\tilde{\mu}x. c_2/\alpha] \triangleleft \langle \mu\alpha. c_1 \parallel \tilde{\mu}x. c_2 \rangle \triangleright c_2 [\mu\alpha. c_1/x]$$

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Computational Classical logic: **hard !**

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$$\langle x \parallel \alpha \rangle \triangleleft \langle \mu_{-}. \langle x \parallel \alpha \rangle \parallel \tilde{\mu}_{-}. \langle y \parallel \beta \rangle \rangle \triangleright \langle y \parallel \beta \rangle$$

## Confluence problem

Computational Classical logic: **hard !**

$$c_1 [\tilde{\mu}x. c_2/\alpha] \triangleleft \langle \mu\alpha. c_1 \parallel \tilde{\mu}x. c_2 \rangle \triangleright c_2 [\mu\alpha. c_1/x]$$

$$\langle x \parallel \alpha \rangle \triangleleft \langle \mu_{-}. \langle x \parallel \alpha \rangle \parallel \tilde{\mu}_{-}. \langle y \parallel \beta \rangle \rangle \triangleright \langle y \parallel \beta \rangle$$

Arbitrary resolution of the critical pair:

- prefer reducing the term: call-by-value
- prefer reducing the co-term: call-by-name

## Confluence problem: values and co-values

$$\langle \mu\alpha. c_1 \parallel \tilde{\mu}x. c_2 \rangle$$

$$\begin{array}{l} t, u ::= \mu\alpha. c \quad | \quad x, y, z \quad | \quad \mu(x \cdot \alpha). c \quad | \quad (t, u) \\ e, f ::= \tilde{\mu}x. c \quad | \quad \star, \alpha, \beta \quad | \quad t \cdot e \quad \quad \quad | \quad \tilde{\mu}(x_1, x_2). c \end{array}$$



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# Pointers

The duality of computation (Curien and Herbelin, 2000) introduced the syntax (with  $\lambda$ )

Tutorial on computational classical logic and the sequent calculus (Downen and Ariola, 2018) (in JFP)

Examples of PL research it inspired:

- Copatterns: Programming Infinite Structures by Observations  
Abel, Pientka, Thibodeau, and Setzer (2013)
- Structures for Structural Recursion  
Downen, Johnson-Freyd, and Ariola (2015)
- A classical sequent calculus with dependent types  
Miquey (2017)
- Deciding equivalence with sums and the empty type  
Scherer (2017)

## Section 2

### A bit of polarity

Call-by-value, name restrictions: **global** confluence solutions.

$$\begin{array}{l} t, u ::= \mu\alpha. c \quad | \quad V \\ V, W ::= \quad \quad \quad x, y, z \quad | \quad \mu(x \cdot \alpha). c \quad | \quad (t, u) \\ e, f ::= \tilde{\mu}x. c \quad | \quad \star, \alpha, \beta \quad | \quad t \cdot e \quad \quad \quad | \quad \tilde{\mu}(x_1, x_2). c \end{array}$$

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Polarity: a **type-directed** solution.

$$\begin{array}{l} P, Q ::= X^+, Y^+ \quad | \quad P \otimes Q \quad | \quad P \oplus Q \quad | \quad \dots \quad | \quad \langle N \rangle^+ \\ M, N ::= X^-, Y^- \quad | \quad P \rightarrow N \quad | \quad M \times N \quad | \quad \dots \quad | \quad \langle P \rangle^- \end{array}$$



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Negative terms (by-name) are inert values.

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Positive co-terms (by-value) are inert co-values.

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## Polarized reduction

$$\begin{array}{lcl} \langle \mu\alpha. c \parallel e \rangle & \triangleright_{\mu} & c [e/\alpha] \\ \langle t \parallel \tilde{\mu}x. c \rangle & \triangleright_{\tilde{\mu}} & c [t/x] \\ \langle (t_1, t_2) \parallel \tilde{\mu}(x_1, x_2). c \rangle & \triangleright_{\otimes} & c [t_1/x_1, t_2/x_2] \\ \langle \mu(x \cdot \alpha). c \parallel t \cdot e \rangle & \triangleright_{\rightarrow} & c [t/x, e/\alpha] \end{array}$$

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$$\begin{array}{lcl} \langle \mu\alpha. c \parallel S \rangle & \triangleright_{\mu} & c [S/\alpha] \\ \langle V \parallel \tilde{\mu}x. c \rangle & \triangleright_{\tilde{\mu}} & c [V/x] \\ \langle (V_1, V_2) \parallel \tilde{\mu}(x_1, x_2). c \rangle & \triangleright_{\otimes} & c [V_1/x_1, V_2/x_2] \\ \langle \mu(x \cdot \alpha). c \parallel V \cdot S \rangle & \triangleright_{\rightarrow} & c [V/x, S/\alpha] \end{array}$$

## Strong values (Focalization)

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What about  $(t, u)$  or  $t \cdot e$  when  $t$  (for example) is not a value?

Two design options:

- Force the user to decide an evaluation order.

$$\langle t \parallel \tilde{\mu}x. \langle (x, u) \parallel \dots \rangle \rangle \qquad \langle t \parallel \tilde{\mu} \langle \dots \parallel x \cdot e \rangle. \rangle$$

- Add an automatic reduction with arbitrary order: Wadler's  $\zeta$ -rules.

$$\langle (t, u) \parallel S \rangle^+ \triangleright_{\zeta, \text{pair}.1}^{t \notin V} \langle t \parallel \tilde{\mu}x. \langle (x, u) \parallel S \rangle^+ \rangle$$



## Polarity: example

Recall :  $t \ u \stackrel{\text{def}}{=} \mu \alpha. \langle t \parallel u \cdot \alpha \rangle^-$

Consider  $(\lambda x. t) \ u$ :

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$P \rightarrow N$ : call-by-value function

$\langle M \rangle^+ \rightarrow N$ : call-by-name function

## Polarity: summary

We name “L” the polarized  $\mu\tilde{\mu}$  calcul $\{us,i\}$ .

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Lets you mix call-by-value and call-by-name (like CBPV).

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Constructive!

**Pointers** : Girard's LC ('91), Munch-Maccagnoni's PhD thesis (2013)/(LICS'14).

## Section 3

### Effects & Purity

(Inspired by Paul's nice CBPV examples)

## How to add a primitive effect to L?

Head reduction can be seen as a function

$$(\triangleright) : \text{Com} \rightarrow \text{Com}$$

written as a relation:  $c_1 \triangleright c_2$  for  $(\triangleright c_1) = c_2$ .  
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(normal commands reduce into themselves)

Now pick an effect  $T$  as a monad on  $\text{Set}$ , and extend

$$(\triangleright_T) : \text{Com} \rightarrow T(\text{Com})$$

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Output literals  $o \in O$ .

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Repeated reductions, Kleisli composition :

$$\text{print}(1). \text{print}(2). c \quad \triangleright_T \quad ([1], \text{print}(2). c) \quad \triangleright_T \quad ([1, 2], c)$$

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Other effects :

- $\rightsquigarrow$  Non-termination ( $TX = 1 + X$ , fail),
- $\rightsquigarrow$  Non-determinism ( $TX = \mathcal{P}_{fin}(X)$ , flip()).

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“(print 1; (x, y))”:

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$$\begin{aligned} (t : A \rightarrow B) &\triangleright_{\eta} \mu(x \cdot \alpha). \langle t \parallel x \cdot \alpha \rangle \\ (e : A \otimes B) &\triangleright_{\eta} \tilde{\mu}(x_1, x_2). \langle (x_1, x_2) \parallel e \rangle \end{aligned}$$

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We already had the right  $\eta$ -laws to account for effects.

## Purity and substitution

A pure term  $t$  can be substituted in any context (referential transparency).  
What should be a pure cotermin  $e$  ?



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↔ Linearity and thunkability

Symmetric notions of purity from **substitution properties**.

## Thunkable terms

$t$  **thunkable** (“ $t$  is pure”)  $\stackrel{\text{def}}{:=}$

$$\forall c[x], \quad c[t/x] \simeq \langle t \parallel \tilde{\mu}x. c \rangle$$

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All  $V$  are thunkable. Semantic notion of value.

**Not thunkable** :  $t \stackrel{\text{def}}{:=} \mu^+ \alpha. \text{print}(1). \langle x \parallel \alpha \rangle.$

$$c[t/x] \not\simeq \langle t \parallel \tilde{\mu}x. c \rangle$$

For example:

## Thunkable terms

$t$  **thunkable** (“ $t$  is pure”)  $\stackrel{\text{def}}{:=}$

$$\forall c[x], \quad c[t/x] \simeq \langle t \parallel \tilde{\mu}x. c \rangle$$

All  $V$  are thunkable. Semantic notion of value.

**Not thunkable** :  $t \stackrel{\text{def}}{:=} \mu^+ \alpha. \text{print}(1). \langle x \parallel \alpha \rangle.$

$$c[t/x] \not\simeq \langle t \parallel \tilde{\mu}x. c \rangle$$

For example:

$\text{print}(2). c'$                       (non-commutative)

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For example:

$$\langle x \parallel \tilde{\mu}x_1. \langle x \parallel \tilde{\mu}x_2. \rangle c' \rangle \quad (\text{duplicating})$$

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## Thunkable terms

$t$  **thinkable** (“ $t$  is pure”)  $\stackrel{\text{def}}{:=}$

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All  $V$  are thinkable. Semantic notion of value.

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For example:

$$\langle y \parallel \star \rangle \quad (\text{erasing})$$

**Thinkable** : observationally pure commands

$$\mu^+ \alpha. \langle V \parallel \alpha \rangle$$

$$\mu \alpha. \text{flip}(x). \langle x \parallel \tilde{\mu}[(\sigma_1 \_). \langle V \parallel \alpha \rangle \mid (\sigma_2 \_). \langle V \parallel \alpha \rangle] \rangle$$

## Linear co-terms

$e$  **linear** (“ $e$  is strict”)  $\stackrel{\text{def}}{:=}$

$$\forall c[\alpha], \quad c[e/\alpha] \simeq \langle \mu\alpha. c \parallel e \rangle$$

All  $S$  are linear. Semantic notion of co-value.

Not linear :  $e \stackrel{\text{def}}{:=} \tilde{\mu}^{-x}. \langle y \parallel \alpha \rangle$

$$\text{take } c[\alpha] \stackrel{\text{def}}{:=} \text{fail} \quad \rightsquigarrow \quad c[e/\alpha] \not\simeq \langle \mu\alpha. c \parallel e \rangle$$

## Purity and polarity

Consider a one-one command

$$c[y, \beta] : (y : A \vdash \beta : B)$$

$c[y, \beta]$  thunkable  $\stackrel{\text{def}}{:=} \mu\beta. c[y, \beta]$  thunkable.  
 $c[y, \beta]$  linear  $\stackrel{\text{def}}{:=} \tilde{\mu}y. c[y, \beta]$  linear.

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$$c[y, \beta] \text{ linear} \stackrel{\text{def}}{:=} \tilde{\mu}y. c[y, \beta] \text{ linear.}$$

$$\mu\beta. c[y, \beta] \text{ thunkable}$$

$\stackrel{\text{def}}{:=}$

$$\forall c'[x], \quad c' [\mu\beta. c[y, \beta]/x] \simeq \langle \mu\beta. c[y, \beta] \parallel \tilde{\mu}x. c'[x] \rangle^\varepsilon$$

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All  $y : A \vdash \beta : N$  are thunkable.

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All  $y : A \vdash \beta : N$  are thunkable.

All  $y : P \vdash \beta : B$  are linear.

# Conclusion

L : polarized versions of the  $\lambda\mu\tilde{\mu}$  abstract-machine calculi.

Future pointer: our upcoming tutorial!

Claim: a good **syntax** to talk about

- classical computation
- mixed-polarity equivalences
- effects
- purity

Thanks!

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## (Non-)Categorical models

One-one commands  $P \vdash Q$  form a category.

One-one commands  $M \vdash N$  form a category.

General one-one commands  $A \vdash B$  do **not** form a category:

composition may be non-associative:  $A \xrightarrow{f} P \xrightarrow{g} N \xrightarrow{h} B$

# Adjunction(s) models

Two adjunctions?

- $\langle - \rangle^- \dashv \langle - \rangle^+$
- $\langle - \rangle^+ \dashv \langle - \rangle^-$

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$$\frac{\langle N \rangle^+ \rightarrow P}{N \rightarrow P}}{N \rightarrow \langle P \rangle^-}$$

The first is between pure commands (both linear and thunkable).  
Minimal effects.

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The first is between pure commands (both linear and thunkable).  
Minimal effects.

The second is between all commands.  
Polarised effects.